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**Stocks:** TGTX, RNN, IMNP, ADRO  
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#### TG Therapeutics, Inc. ( TGTX )

ROTH makes a market in shares of TG Therapeutics, Inc. and as such, buys and sells from customers on a principal basis.

A Research Analyst and/or a member of the Analyst's household own(s) debt or equity securities of TG Therapeutics, Inc. stock.



**Rexahn Pharmaceuticals, Inc. ( RNN )**

Within the last twelve months, ROTH has received compensation for investment banking services from Rexahn Pharmaceuticals, Inc..

ROTH makes a market in shares of Rexahn Pharmaceuticals, Inc. and as such, buys and sells from customers on a principal basis.

ROTH and/or its employees, officers, directors and owners own options, rights or warrants to purchase shares of Rexahn Pharmaceuticals, Inc. stock.

Shares of Rexahn Pharmaceuticals, Inc. may be subject to the Securities and Exchange Commission's Penny Stock Rules, which may set forth sales practice requirements for certain low-priced securities.



**Immune Pharmaceuticals ( IMNP )**

Within the last twelve months, ROTH has received compensation for investment banking services from Immune Pharmaceuticals.

ROTH makes a market in shares of Immune Pharmaceuticals and as such, buys and sells from customers on a principal basis.

ROTH and/or its employees, officers, directors and owners own options, rights or warrants to purchase shares of Immune Pharmaceuticals stock.

Shares of Immune Pharmaceuticals may be subject to the Securities and Exchange Commission's Penny Stock Rules, which may set forth sales practice requirements for certain low-priced securities.



### Aduro Biotech, Inc. ( ADRO )

ROTH makes a market in shares of Aduro Biotech, Inc. and as such, buys and sells from customers on a principal basis.



**Distribution of IB Services Firmwide**

**IB Serv./Past 12 Mos.**  
as of 04/27/16

Rating	Count	Percent	Count	Percent
Buy [B]	230	76.92	133	57.83
Neutral [N]	37	12.37	21	56.76
Sell [S]	4	1.34	0	0
Under Review [NR]	27	9.03	17	62.96

